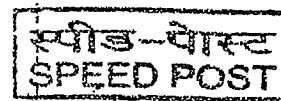


21011/54/2018-PM-I  
Government of India  
Ministry of Home Affairs  
(Police Modernization Division)



Jaisalmer House, 26, Man Singh Road,  
New Delhi, dated the 28<sup>th</sup> September, 2018.

To

1. The Principal Secretaries (Home) of all State Governments.
2. The Director General of Police of all States.

**Subject: Submission of State Action Plans(SAPs) for the year 2019-20 by the State Governments under the sub-scheme of 'Assistance to States for Modernisation of Police' under the umbrella scheme of Modernization of Police Forces.**

Madam / Sir,

Please refer to the guidelines of the sub-scheme of 'Assistance to States for Modernisation of Police' forwarded vide letter No. 21011/57/2017-PM-I dated 13<sup>th</sup> December, 2017. As per calendar stipulated in the guidelines for approval of State Action Plans(SAPs), this Ministry invites State Action Plans(SAPs) for the year 2019-20. As actual allocations of the sub-scheme will be known in due course, tentative allocation for the purpose of making SAPs is Rs. 769 crore, out of which 5%, i.e., Rs. 38.45 crore would be contingency reserve, 10%, i.e., Rs. 76.90 crore would be for incentives to the States for implementation of police reforms. Rs. 92.21 crore has been kept aside as the remaining allocation for Mumbai MCP Plan as 2019-20 is the last year for implementation of that Plan. The amount of Rs. 561 crore would be distributed/allocated to the States based on pre-decided inter-State distribution ratio. **You may, therefore, prepare the SAP on the basis of the allocations annexed at Statement-I. The State Security Plan may be kept in mind while preparing the SAP.**

2. The State Governments are required to formulate their SAPs proposals for the financial year 2019-20 under this sub-scheme in accordance with the guidelines dated 13<sup>th</sup> December 2017. The following points may also be kept in view while formulating the SAP:-

- i. The SAPs should be formulated by the State Governments after making a base line assessment of the ground situation, identification of gaps & deficiencies and a time horizon of at least three years apart from reviewing the previous years' plan,

the State Security/Strategic Plan and after conducting an analysis of local needs and goals to see how they fit in with the State's strategic priorities.

- ii. The SAP should be outcome oriented, have a discernable impact and visibility.
- iii. It is reiterated that under the sub-scheme, assistance will continue to be provided to all the State Governments for weapons and equipments for forensics, information technology, communication, training etc. However, the scheme has been modified so as to facilitate targeted interventions and funding for the components of 'mobility' and 'construction of police infrastructure including housing' are allowed only in case of specific theatres, viz. Jammu & Kashmir, insurgency affected areas of North East (NE) Region and Left Wing Extremism(LWE) affected areas. Further, the items under 'mobility' head would be used only for strengthening field level police offices and not state level police offices. As such, the entire State of Jammu & Kashmir, districts covered under the Security Related Expenditure(LWE) [SRE(LWE)] and NE States covered under SRE(NE) are covered under specific theatres.
- iv. The SAP is to be prepared in reference to the requirements of the State Police such as, weapons, equipment, training and forensic equipment. The following may be given due prominence in the SAP:
  - (a) It is suggested that photography / videography can be implemented on best effort basis in the categories of heinous offences such as murder, attempt to murder, culpable homicide not amounting to murder, attempt to commit culpable homicide, rape, attempt to commit rape, kidnapping and abduction, dacoity, robbery, riots, arson and dowry deaths, etc. States may include photography and videography as a part of their SAP.
  - (b) Expenditure towards hardware replacement, expenses on O&M and connectivity charges towards further implementation of the CCTNS project in the States may be included in the SAP.
  - (c) SMART Policing initiatives may be included in the SAP.
  - (c) Upgradation of forensic science set up may be given top priority.
- v. Supplementary SAP constituting 20% of SAP size is also to be prepared and furnished separately. Release of funds for implementation of Supplementary Plans will be subject to availability of funds.
- vi. The item-wise bifurcation of Central and State shares in SAP and Supplementary Plan may be clearly indicated.

- vii. The States have been classified into Category 'A' and Category 'B' for the purpose of funding under the sub-scheme. Category 'A' States, namely Jammu & Kashmir, Himachal Pradesh, Uttarakhand and 8 North Eastern States, including Sikkim, will receive assistance on 90:10 (Centre: State) sharing basis. The remaining States will be in Category 'B' and will be provided assistance on 60:40 (Centre: State) sharing basis. Therefore, in the SAP proposals, the State Governments may invariably incorporate the components of State share based on the category of the State and confirm that due State share of funds has been factored into.
- viii. The tentative central allocations made to the State Governments under the sub-scheme for the financial year 2019-20 and the corresponding State share are indicated in the enclosed **Statement-I**.
- ix. It has been proposed to the Ministry of Finance to provide the entire central allocation under Major Head(MH) 3601, omitting MH 2055 for the sub-scheme. If the proposal is agreed, the allocations under MH 3601 will be released to the State Governments and States may propose to procure various weaponry, ammunition for practice /training and other items in the production range of Ordnance Factory Board, Kolkata or any other central agency and State Governments will make payments to these agencies directly.
- x. **The Utilization Certificates (UCs) in respect of funds released up to the year 2017-18 will form the basis for release of funds to the State Governments during 2019-20.** The UCs are to be furnished in the format enclosed at **Annexure-A** as prescribed in GFR 12-C, by the State Police Administration through the State Home Department. No diversion may be made in the wordings of the format prescribed in GFR 12-C.
- xi. The Ministry may release the entire allocation immediately during FY 2019-20 after approval of SAP by HPC, provided there are no pending UCs. The pending UCs may be forwarded to this Ministry at the earliest. If there are any mismatch of records between this Ministry and a particular State Government, the same may be reconciled over phone/fax/email, or through any other mode, on priority. If any mismatch persists till the time of High Powered Committee (HPC) Meeting, an agenda point may be made on the same for discussion in the meeting for resolution of the matter.
- xii. The SAP proposals need to be approved by the State Level Empowered Committee (SLEC) before forwarding the same to MHA.

3. It is also pertinent to mention that the component of mega city policing has been continued beyond 2016-17 only in case of Mumbai, Kolkata and Hyderabad, as in their cases megacity plans were approved and funds for Phase-II were still to be released. While The State Governments of West Bengal and Telangana have furnished pending UCs and funds have been released to them in respect of MCP plans, funds could not be released to the Government of Maharashtra as UCs in respect of the entire fund of Rs. 45 crore released to them during 2015-16 have not been furnished. Therefore, the Government of Maharashtra is requested to send the demand for release of funds during financial year 2019-20 after furnishing UCs for the funds released earlier, as this component has approval only up to 2019-20. Beyond 31.3.2020, this component will not be continued and the Ministry may not be able to provide any fund to the approved MCP Plan of Mumbai.

4. As per practice, to facilitate discussions on the SAP proposals of the States, a brief Presentation shall be made by the officials of the respective States before the High Powered Committee in MHA. Apart from item-wise cost estimates and the targets/ projections & time-bound quantifiable/measurable outcome for the year 2019-20, the presentation should reflect the various points mentioned in para-2 above and also should include the following:

- i. State Security Plan for the period,
- ii. Physical and financial achievements made by the State Government during the past 5 years, from 2014-15 onwards.
- iii. Benefits accrued to the State Police from the scheme.
- iv. Funds provided by the State Government in the last 5 years for equipping and modernization of State Police.
- v. Reasons for deployment of CAPFs in the State in the past 3 years.

5. Action Taken Report on the observations made by the C&AG of India in their report and the observations/ recommendations made in the quarterly concurrent audit reports for the years 2011-12 to 2017-18, if not furnished so far, may also be promptly furnished to this Ministry.

6. Interest earned, if any, out of the central funds, by the State Government, as applicable, should be intimated to this Ministry.

7. It is proposed to hold the High Powered Committee (HPC) meetings in the Ministry of Home Affairs to consider the SAPs of all States under this sub-scheme for the year 2019-20 during the month of **January and February, 2019** as per the calendar. The exact dates of the HPC meetings will be intimated in due course.

8. All the State Governments, are requested to submit 20 copies of their SAP proposals for the year 2019-20 under this sub-scheme, prepared on the lines suggested in para 2(i) to (xii), so as to reach the Director(PM-I), Police Modernisation Division, Ministry of Home Affairs, Jaisalmer House, 26, Man Singh Road, New Delhi -110011, by **31<sup>st</sup> December, 2018**. Soft copy of SAPs may mandatorily be sent to email IDs [uspm@mha.nic.in](mailto:uspm@mha.nic.in) and [sc.karol@nic.in](mailto:sc.karol@nic.in).

Yours faithfully,

*Vivek Bharadwaj*  
(Vivek Bharadwaj)

Joint Secretary (Police Modernisation)

Tele: 23383827

Email: [jspm@nic.in](mailto:jspm@nic.in)

Enclosures: Statement-I and Annexure A.

Copy to:

1. JS(NE) / JS (LWE)/ JS(J&K), Ministry of Home Affairs
2. Controller General of Accounts
3. S.O., IT Cell, MHA, North Block, New Delhi with the request that this letter be uploaded on MHA's website immediately

Copy for kind information to:-

1. PPS to SS&FA (Home)
2. DG, BPR&D / Chief Forensic Scientist, Directorate of Forensic Science Services/  
Director, NCRB / Director, DCPW
3. Director, IB
4. Director (Fin/ Home)

*(S.C. Karol)*  
28/12/18

(S.C. Karol)

Director (PM-I)

Tele Fax: 23385947

Email: [sc.karol@nic.in](mailto:sc.karol@nic.in)

<b>Statement - I</b>				
<b>State-wise tentative allocation under MPF Scheme 2019-20 distributed based on pre-decided inter-State distribution ratio</b>				
Sr. No.	Name of State	Allocation		
		Central Share	State Share	Total
(1)	(2)	(3)	(4)	(5)
<b>Category 'A' States (90:10) cost sharing basis)</b>				
1	J & K	39.90	4.43	44.33
2	Arunachal Pradesh	3.92	0.44	4.36
3	Assam	26.40	2.93	29.33
4	Manipur	9.55	1.06	10.61
5	Meghalaya	3.75	0.42	4.17
6	Mizoram	4.77	0.53	5.30
7	Nagaland	10.74	1.19	11.93
8	Sikkim	1.77	0.20	1.97
9	Tripura	7.84	0.87	8.71
10	Himachal Pradesh	3.50	0.39	3.89
11	Uttarakhand	3.37	0.37	3.74
<b>Category 'B' States (60:40) cost sharing basis)</b>				
12	Andhra Pradesh	24.46	16.31	40.77
13	Telangana	17.48	11.66	29.14
14	Bihar	27.62	18.42	46.04
15	Chhattisgarh	9.72	6.48	16.20
16	Goa	1.03	0.68	1.71
17	Gujarat	25.58	17.05	42.63
18	Haryana	11.48	7.65	19.13
19	Jharkhand	9.21	6.14	15.35
20	Karnataka	38.37	25.58	63.95
21	Kerala	16.11	10.74	26.85
22	Madhya Pradesh	27.11	18.07	45.18
23	Maharashtra	47.11	31.41	78.52
24	Odisha	15.60	10.40	26.00
25	Punjab	16.42	10.95	27.37
26	Rajasthan	31.26	20.84	52.10
27	Tamil Nadu	34.84	23.22	58.06
28	Uttar Pradesh	63.19	42.12	105.31
29	West Bengal	28.90	19.27	48.17
	<b>Total</b>	<b>561.00</b>	<b>309.82</b>	<b>870.82</b>

**FORM GFR 12-C**  
 [(See Rule 239)]

**FORM OF UTILIZATION CERTIFICATE (FOR STATE GOVERNMENTS)**  
 (Where expenditure incurred by government bodies only)

Sl. No	Letter No. and date	Amount	Certified that out of Rs. _____ of grants sanctioned during the year _____ in favour of _____ under this Ministry/Department Letter No. given in the margin and Rs. _____ on account of unspent balance of the previous year, a sum of Rs. _____ has been utilized for the purpose of _____ for which it was sanctioned and that the balance of Rs. _____ remaining unutilized at the end of the year has been surrendered to Government (vide No. _____ dated _____) /will be adjusted towards the grants-in-aid payable during the next year. _____.
<b>Total</b>			

1. Certified that I have satisfied myself that the conditions on which the grant-in-aid was sanctioned have been duly fulfilled/ are being fulfilled and that I have exercised the following checks to see that the money was actually utilized for the purpose for which it was sanctioned.

**Kind of checks exercised:**

- 1.
- 2.
- 3.
- 4.

Signatory.....  
 Name .....  
 Designation .....  
 Date.....  
 Seal .....

PS: The UC shall disclose separately the actual expenditure incurred and loans and advances given to suppliers of stores and assets, to construction agencies and like in accordance with scheme guidelines and in furtherance to the scheme objectives, which do not constitute expenditure at the stage. These shall be treated as utilized grants but allowed to be carried forward.